1 2 Source Identification of Particulate Metals/Metalloids Deposited in the San Juan River Delta of Lake 3 Powell, USA Logan Frederick¹, William P. Johnson^{1*}, Thure Cerling¹, Diego Fernandez¹ 4 5 6 ¹Department of Geology and Geophysics, University of Utah, Salt Lake City, UT 84112, USA 7 8 Abstract 9 Whereas mining and non-mining sourced particulate metal/metalloids (PM) (<0.45 µm) are present in the tributaries of the San Juan River, USA, the individual contributions of PM from the San Juan River 10 11 tributaries to the sediment of the San Juan River Delta of Lake Powell, USA were previously unknown. To 12 determine these contributions, signatures of tributary PM, including enrichment factors, lead (Pb) isotopes, color, and particle size were used to tie layers in a San Juan River sediment core to upstream 13 tributary sources. Tributary PM concentrations were determined for existing and newly-collected water 14 15 quality samples by coupling these discrete measured elemental concentrations with total suspended solids concentrations. Discrete PM concentrations were categorized by runoff category (i.e., snowmelt, 16 17 rainfall, and/or baseflow). 18 Average tributary PM concentrations that were uniquely elevated in a given tributary or during a given runoff category were used to establish enrichment factors, ratios of PM concentrations to 19 20 aluminum (Al). Elevated ratios of Pb:Al, Cd:Al, Cu:Al, and Zn:Al in deposited sediment were linked to the 21 Animas River. Elevated ratios of Ni:Al in deposited sediment were linked to the Mancos River and 22 elevated ratios of Mn:Al in deposited sediment were linked to Chaco Creek. Pb isotope ratios in the 23 sediment core spanned Pb isotope ratios measured in tributary suspended sediment; wherein the Pb isotope ratios reflected the depleted signature of the mineralized vein, the enriched signature of the 24 25 Chinle Sandstone, or a mixture of these two endmembers. Sediment trap PM concentrations deployed Commented [LF1]: Pending

*Corresponding author: william.johnson@utah.edu

over distinct runoff categories (i.e., baseflow with intermittent rainfall and peak snowmelt), were

analyzed via principal component analysis (PCA) in order to link deposited sediment layers to runoff

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28 category by determining whether sediment trap layer PCA values fell within the 95% confidence interval 29 probability ellipse of a tributary PM runoff category. These independent lines of evidence were used to link probable tributary source and runoff 30 category to sediment layers. The overall analysis indicated a single snowmelt layer was recorded in the 31 32 sediment core, and that the 3.37 m San Juan River Delta core was deposited over ~1.3 years. 33 Additionally, approximately 10% of the overall PM deposited in the sediment core was attributed to mining sources; whereas approximately 80% of the overall PM reflected a mixture of mining and non-34 35 mining sources. 36 37 Keywords: particulate metals/metalloid concentration, sediment cores, sediment traps, lead isotopes, 38 principal component analysis 39 40 1. Introduction 1.1. San Juan River Delta Sediment 41 42 Following the 2015 Gold King Mine (GKM) spill, the US Environmental Protection Agency (USEPA) 43 began remediating mining-sourced particulate metals/metalloids (PM) in the Bonita Peak Mining District, CO under Superfund (US Comprehensive Environmental Response, Compensation, and Liability 44 45 Act) to improve water quality in the Animas River, CO (Figure 1) (USEPA, 2018). The Animas River is one of seven main tributaries to the San Juan River, each of which contributes mining and/or non-mining 46 47 sourced PM (Abell, 1994; Church et al., 1997; Schemel et al., 2000; Clow, 2005; Larrick, 2010; Larrick and 48 Ashmore, 2012; Dyer et al., 2016; Rodriguez-Freiere et al., 2016; Frederick et al., 2018). Whereas San Juan River PM are primarily deposited in the San Juan River Delta of Lake Powell, UT (Figure 1) 49 (Hornewer, 2014), the overall contribution of mining and non-mining sourced PM from the tributaries of 50

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the San Juan River has previously been uncharacterized.

Sediment cores, which record PM deposition, also potentially record mining versus non-mining sourced PM in the San Juan River Delta. San Juan River Delta sediment layering suggests that changes in sediment source occurred over time, with visually distinct layers being associated with distinct elemental concentrations (Hornewer et al., 2014). Whereas resuspension events or diagenetic processes (e.g., redox) can alter sediment elemental concentrations following deposition (Ferrari, 1988; Pratson et al., 2008; Hornewer, 2014; Vernieu, 1997), the San Juan River tributaries mobilize distinct PM loads between tributaries and different runoff categories (i.e., snowmelt, rainfall, or baseflow) (Frederick et al., 2018). Because sedimentation rates in the San Juan River Delta are high and fluctuate annually as well as interannually (Standford and Ward, 1990; Potter and Drake, 1989; Vernieu, 2012), traditional sediment dating methods (e.g., cesium-137 or lead-210) are not reliable. In the absence of these dating procedures, we herein use geochemical signatures representing seasonal categories of runoff, where the record is punctuated by annual events such as snowmelt, as an alternative dating method. The overall objective of this paper is to use multiple lines of evidence developed from tributary PM geochemical signatures to differentiate mining versus non-mining sourced elemental concentrations in sediments of the San Juan River Delta. Additionally, tributary PM signatures indicative of snowmelt will be used to determine the age of a sediment core.

69 1.2. Source identification of elements deposited in lake sediment

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In order to differentiate natural, industrial, and/or mining-sourced PM, sediment source identification in lacustrine systems has been performed using elemental concentrations in principal component analysis (PCA) (Christensen and Juarcek, 2001; Wang et al., 2015; Palau et al., 2012), lead (Pb) isotopes (Graney et al., 1995), and enrichment factors relative to conservative elements (Wang et al., 2015, Riba et al., 2002). Enrichment factors normalize concentrations of metals to conservative elements; e.g., iron (Fe), aluminum (Al), and can be used to determine inputs of anthropogenic and mining-sourced PM among contaminated and background lakes (Wang et al., 2015; Riba et al., 2002).

PCA is a statis	tical tool to reduce multidimensional datasets through the generation of principal
components (PCs), which represent linear combinations of input variables (e.g., concentrations) (Li and
Zhang, 2010; \	Wang et al., 2015). PCA performed with elemental concentrations was used to
differentiate s	sources (e.g., mining, industrial, or natural), where sample categories occupied distinct PC
space (Wang e	et al., 2015; Frederick et al., 2018). Additionally, PCA probability ellipses (also referred to as
convex hulls),	represent the confidence interval within PC space of a given sample category and can be
used to predic	ct categorical association of an independent data set (Palau et al., 2012). Stable isotopes of
Pb, which do r	not fractionate during transport/deposition, have been utilized in both alluvial and
lacustrine sed	iment to attribute sources (e.g., a mineralized vein) (Church et al, 1997, Frederick et al.,
2018, Graney	et al., 1995).
Overarchi	ing Hypothesis: Layers in sediment deposited in the San Juan River Delta of Lake Powell
reflect distinct	t sources from upstream tributaries.
Hypothes	is 1: Because elevations and lithologies range among tributaries, PM loads and PM
concentration	is from a given tributary change with runoff category (i.e. snowmelt, rainfall, or baseflow),
yielding distin	ct layers in sediment traps and sediment cores at the downstream end of the system.
Hypothes	is 2: Because mining tends to occur in high elevations, snowmelt-activated PM loads and
PM concentra	tions tend to have elemental signatures; e.g., elevated Pb, cadmium (Cd), arsenic (As), zinc
(Zn) and Pb iso	otope ratio (corresponding to mineralized vein) that can be used to link downstream
sediment laye	ers to mining origin. Underlying this approach is an assumption that negligible oxidative or
reductive elen	mental mobilization occurred following deposition
2. Backgroui	nd
2.1. Tributarie	s of the San Juan River
The seven	n main tributaries of the San Juan River (Upper San Juan River, Animas River, La Plata River,
Mancos River,	, McElmo Creek, Chaco Creek, and Chinle Creek) can be characterized by prevalence of

precious metal mining, predominant underlying geologic unit, as well as runoff type (i.e., snowmelt, rainfall, or baseflow) in the tributary (Supporting Information Figure SI1). Mined tributaries, defined as >50 precious metal mines, include the Animas, Upper San Juan, Mancos, and La Plata Rivers; whereas unmined tributaries, defined as <50 precious metal mines, include McElmo, Chinle, and Chaco Creeks. The watersheds of McElmo Creek, Mancos River, and La Plata River are predominantly underlain (>50% area) by the Mancos Shale, a Cretaceous-age marine shale naturally high in As and Se (Dryer et al., 2016; US Department of Energy, 2011; Larrick and Ashmore, 2012); whereas the watersheds of Chinle Creek and Chaco Creek are predominantly underlain (>50% area) by the Chinle Sandstone, a Pennsylvanian to Cretaceous age sandstone naturally high in Al, As, cobalt (Co), chromium (Cr), Fe, vanadium (V), and Zn (Newman, 1962) (Supporting Information Figure SI1). Stream hydrographs in snowmelt-dominated watersheds (Upper San Juan and Animas) are characterized by sustained high flow during spring, whereas hydrographs from rainfall-dominated watersheds (La Plata, McElmo, Chinle, and Chaco) are characterized by short high intensity flow events. Additional information characterizing prevalence of mining, underlying geologic units, and runoff in the San Juan Watershed is available in Frederick et al. (2018).

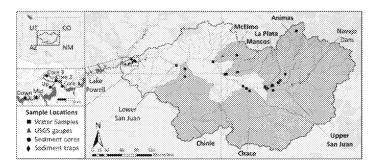


Figure 1. Map of the San Juan Watershed located in Four Corners, USA. Tributary watersheds are outlined and labeled in black. Locations for surface water samples (black squares), USGS stream gauges (red triangles), USGS sediment cores (black circles), and USGS sediment traps (black diamonds) are shown. USGS sediment cores were

collected at USGS sites 0364200, 0382600, and 0410700, for cores 1, 2, and 3, respectively. USGS sediment traps were collected downstream of Mexican Hat, UT at upstream, mid-stream, and downstream locations. A map with watershed mining activity and geology is given in the Supporting Information (Figure SI1).

A previous study on source identification of PM loads (mg_{metal}/sec) in the tributaries of the San Juan River (Frederick et al., 2018) found that PM loads vary with runoff category (i.e. snowmelt, rainfall, or baseflow), indicating that different runoff categories represent different PM sources within a watershed. PM loads mobilized during snowmelt reflected high elevation terrain, whereas PM loads mobilized during rainfall reflected PM sourced from all elevations (Frederick et al., 2018). The Animas River (Figure 1), dominated PM load contributions to the San Juan River during snowmelt; where large PM loads of Pb, As, copper (Cu), and Zn were attributed to mining in the Animas headwaters (Frederick et al., 2018). Chinle and Chaco Creek, two tributaries with no precious metal mining (Figure 1), dominated PM load contributions to the Lower San Juan River during rainfall; where large PM loads of Al, Co, Cr, Fe, V, and Zn were attributed to the Chinle Sandstone (Frederick et al., 2018).

PM Pb isotope ratios from mined San Juan River tributaries generally reflected Pb isotopes in the mined mineralized vein (Church et al., 1997); whereas Pb isotope ratios in unmined tributaries reflected the predominant underlying geologic unit (Frederick et al., 2018). The Animas, Upper San Juan, La Plata and McElmo Rivers had Pb isotope ratios (Frederick et al., 2018) that reflected the mineralized vein (Church et al., 1997), which is present in the headwaters of each tributary except McElmo Creek. The Dolores River, headwaters underlain by the mineralized vein, is diverted to McElmo Creek to maintain year-round flow (Larrick, 2010) and appears to dominate the McElmo Pb signature. The Mancos River Pb isotope ratios reflected a combination of the mineralized vein and the Mancos Shale, which has relatively enriched ²⁰⁸Pb/²⁰⁴Pb and ²⁰⁶Pb/²⁰⁴Pb (Church et al., 1997). Chinle and Chaco Creeks Pb isotope ratios reflected the enriched ²⁰⁸Pb/²⁰⁴Pb and ²⁰⁶Pb/²⁰⁴Pb Chinle Sandstone (Frederick et al., 2018).

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2.2. San Juan River Delta of Lake Powell

 Lake Powell, the second largest reservoir in the USA (US Bureau of Reclamation, 2012), impounds the Colorado, Escalante, and San Juan Rivers at the border of Utah and Arizona (Figure 1). These three rivers annually supply an average of 60 million metric tons of sediment to Lake Powell (Potter and Drake, 1989), with a majority of the sediment and water (~96%) sourced from the Colorado and San Juan Rivers (Irons et al., 1965; Evans and Paulson, 1983). Sediment deposition of alluvial loads occurs mainly at the reservoir inflows; where coarse material is deposited in the upstream portion of the delta and finer material is deposited downstream as a function of decreasing water velocity (Vernieu, 1997). Finer material is characterized by large surface areas with active sites for ion exchange or sorption of elements (Vernieu, 1997). Mobilization of elements in Lake Powell sediment may also occur during prolonged drought; e.g. from 1988 to 1993, where over 100 km of deltaic sediment was exposed (Vernieu, 1997).

In order to document the presence of elevated elemental concentrations in San Juan River deltaic sediment, the United States Geological Survey (USGS) collected three sediment cores in August 2010 ranging in depth from 1.48 to 4.6 m (Hornewer, 2014) (Figure 1). The three sediment cores (USGS 371712110364200, USGS 371425110382699, and USGS 371545110410700) showed variation in elemental concentration with depth; however, a sediment accumulation history was not determined (Hornewer, 2014).

Additionally, in 2015 the USGS deployed sediment traps at three locations (upstream, mid-stream, and downstream, Figure 1) in the San Juan River Delta during baseflow with intermittent rainfall and snowmelt (Figure 2) (UDWQ et al., 2018). Lower San Juan River baseflow conditions punctuated by four rainfall events in the watershed were captured in 48 cm at the upstream location from 8/23/2015 to 11/19/2015 (USGS MRP-15078). Snowmelt runoff in the Lower San Juan River (5/17/2016 to 7/14/2016) was captured with three sediment traps (upstream, mid-stream, and downstream locations), where 12.7

cm were deposited in each trap over 59 days (USGS MRP-15597). Lower San Juan River baseflow conditions punctuated by a large rainfall event and two smaller rainfall events in the watershed (7/14/2016 to 10/26/2016) were captured in 3.5, 6, and 2 cm from the upstream, mid-stream, and downstream locations, respectively (USGS MRP-16105).

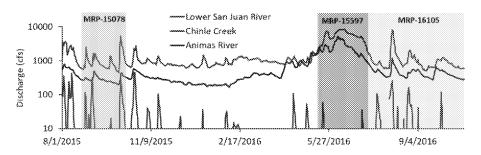


Figure 2. Periods over which the USGS deployed sediment traps in the Lower San Juan River: baseflow with intermittent rainfall 2015 (MRP-15078), snowmelt 2016 (MRP-15597), and baseflow with intermittent rainfall 2016 (MRP-16105). Hydrographs for the Lower San Juan River (solid line, 09379500), Animas River (blue line, 0964500), and Chinle Creek (red line, 09379200) (USGS, 2018) are shown.

Sediment deposition rates in Lake Powell are high (m per year range) and vary substantially within and between years (Standford and Ward, 1990; Potter and Drake, 1989; Vernieu, 2012). For example, USGS sediment traps collected during baseflow with intermittent rainfall show interannual variation in deposition rates, e.g., 1.97 m/year in 2015 and 0.21 m/year in 2016. Average sediment accumulation rates in the San Juan River Delta were reported to range from 0.48 m/year to 2 m/year (Potter and Drake, 1989; Standford and Ward, 1990; Vernieu, 2012). The high observed sedimentation rates in the San Juan River Delta lead to an expectation that the USGS sediment cores (ranging in length from 1.48 m to 4.6 m) likely represent less than a decade of sediment deposition. Whereas cesium (Cs)-137 and Pb-210 isotopes are commonly used to determine sediment accumulation rates in modern lake records

(post-1950) (e.g., Mahler et al., 2005; Bloesch and Evans, 1982; USGS, 2016); the combination of fluctuating deposition rates and the decade (short) timescale make traditional dating methods unfeasible. Therefore, seasonal signatures of San Juan River tributaries may help in estimation of deposition time in the San Juan River Delta sediment cores.

3. Methods

The concentration of total and filtered metals (<0.45 µm), and total suspended solids (TSS) for each of the seven tributary watersheds and the main channel of the San Juan River were obtained from the USEPA Storage and Retrieval database (STORET) (USEPA, 2017) (Figure 1) and supplemented with opportunistic samples as described in Frederick et al. (2018). Water quality samples in STORET were collected as grab samples in the shallow water column, which can neglect PM in the deeper water column. However, direct comparisons between shallow grab samples and samples composited across water column depth collected May to July 2016 and 2017 from the Lower San Juan River, found <10% difference in metal concentrations between these two sampling methods, demonstrating that grab samples adequately capture mobilized PM under the conditions in which this comparison was made (UDWQ et al., 2018).

Supplemental water quality samples were analyzed for total and filtered elemental concentrations and Pb isotopes using an Agilent 7500ce quadrupole ICP-MS with collision cell and Neptune Plus multicollector, respectively as described in Frederick et al. (2018). Both PM loads (mg_{metal}/sec) and PM concentrations (mg_{metal}/mg_{sed}) were determined for the analyzed samples; where PM loads were examined in Frederick et al. (2018) and PM concentrations are examined here.

PM concentrations (mg_{metal}/mg_{sed}) were determined for each sample by differencing total and filtered concentrations divided by the TSS concentration. Samples were categorized and averaged according to runoff category (i.e., snowmelt, rainfall, or baseflow) as described in Frederick et al. (2018), under the assumption that each sample mobilized PM concentrations representative of the assigned

216 whether average PM concentrations were distinct (p <0.05) between tributary or among runoff 217 categories within a given tributary. 218 The three sediment cores collected from the San Juan River Delta of Lake Powell in August 2010 219 (Core 1, Core 2, and Core 3) (Figure 1) (Hornewer, 2014) had individual thickness of 1.48 m, 3.37 m, and 220 4.60 m, respectively. The complete sampling methodology and coring procedure are given in Hornewer 221 (2014) and Hart et al. (2005). Various strata were subsampled (20, 32, and 49 subsamples, for cores 1, 2, 222 and 3, respectively), dried, digested via total digestion (HCl, HNO₃, HClO₄, and HF at low temperature) and analyzed via Inductively Coupled Plasma Atomic Emission Spectrometry (ICP-AES) (Hornewer, 2014). 223 224 Additional sediment samples were sub-sectioned from the frozen cores in Fall 2017 at the USGS 225 laboratory in Boulder, CO (6, 19, and 15 samples from cores 1, 2, and 3, respectively). Because the three 226 sediment cores have similar average elemental concentrations (student t-test p>0.05) (Supporting Information, Figure SI2), Core 2 was selected and analyzed for elemental concentration and Pb isotopes 227 via ICP-MS with a 10% HCl digestion. Grain size was analyzed via Sympa Tec Lixell Helos/KF laser 228 229 diffraction using two lenses, which captured particles sizes 0.25 μm to 87.5 μm and 0.5 μm to 350 μm, 230 respectively. Particle sphericity was analyzed via Sympa Tec Lixell QICPIC. Sediment color was assigned 231 based on Munsell Soil Color Charts (Munsell Color x-rite, 2009). Water content and bulk density of the 232 sediment samples were determined by weighing a known volume of sample before and after drying. 233 Elemental concentrations were then corrected to represent the dry elemental concentration. A 10% HCl 234 digestion was assumed to extract only the metal/metalloid coating on the mineral (extractable phase), 235 rather than the entire mineral matrix (total digestion). In this way, PM concentrations in the water, also digested via 10% HCl, can be directly compared to sediment elemental concentrations. 236 Sediment traps were sub-sectioned and analyzed by the USGS (UDWQ et al., 2018); where layers 237 238 deposited during rainfall/baseflow 2015 were sub-sectioned into 10 samples at 4 to 5 cm increments.

runoff category. Student's t-tests (unpaired, two-tailed, unequal variance) were used to determine

Sediment deposited during peak snowmelt at the upstream location was sub-sectioned by visual layer (total of 3 subsections), whereas the sediment from the other two locations was homogenized.

Sediment deposited during rainfall/baseflow 2016 was homogenized and analyzed separately for each trap location. All sediment trap samples were dried, digested via total digestion and analyzed via ICP-AES-MS multi-acid (ICP-42) (USGS method 19-42, USGS, 2018).

PCA was performed in R (R Core Team, 2013) to generate linear combinations of sediment elemental concentrations; where PC1 accounts for the majority of variance among elemental concentrations, and PC2 accounts for the largest remaining variance orthogonal to PC1. Because sediment elemental concentrations spanned multiple orders of magnitude, elemental concentrations were log transformed to avoid overweighting of large concentrations. PCA was performed for the sediment trap elemental concentrations in order to generate runoff-specific probability ellipses representing 95% confidence interval. PC1 and PC2 weights were then applied to log-transformed sediment core elemental concentrations (also measured via total digestion).

Through utilizing enrichment factors, PCA, and Pb isotopes as independent lines of evidence, the source identification of San Juan River Delta sediment layers can be determined. Enrichment factors, Pb isotopes, sediment color, and grain size tie sediment deposited in the San Juan River Delta to upstream tributaries; where enrichment factors, Pb isotopes, and color will characterize the bioavailable/redox sensitive component of sediment (extractable phase). Enrichment factors and color of San Juan River Delta sediment layers may record changes in PM source, where distinct PM concentrations and/or color exists between tributaries or between runoff categories (assuming no diagenetic processes). Pb isotopes in San Juan River Delta sediment may reflect the mineralized vein (sourced from mined tributaries), predominant underlying geologic unit (sourced from unmined tributaries), or a combination of sources. Sediment trap elemental concentration PCA (total digestion) establishes runoff category trends in deposited sediment to be used predictively for San Juan River Delta sediment.

4. Results/Discussion

4.1. Signatures in extractable phases

Tributary PM concentrations that were uniquely elevated in a given tributary or during a given runoff category within a given tributary were used to establish enrichment factors for comparison to those from San Juan River Delta sediment. For each runoff category, distinctly elevated PM concentrations were correlated with specific tributaries. Cd, Cu, Pb, selenium (Se), and Zn PM concentrations were higher in the Animas River relative to other tributaries during all runoff categories (p<0.05, Figure 3; Supporting Information Figure SI3). Animas River rainfall/baseflow beryllium (Be) and molybdenum (Mo) PM concentrations were greater than other tributary rainfall or baseflow PM concentrations (Figure 3 upper and lower panel). Additionally, Animas River snowmelt As, manganese (Mn), and Mo PM concentrations were greater than other tributary snowmelt PM concentrations (Figure 3 middle panel). Mancos River snowmelt Be, Cr, and nickel (Ni) PM concentrations and baseflow Mo PM concentrations exceeded other tributary PM concentrations for the respective runoff categories (Figure 3 middle and lower panel). During rainfall, Chaco Creek Mn concentrations were greater than other tributary watersheds (Figure 3 upper panel).

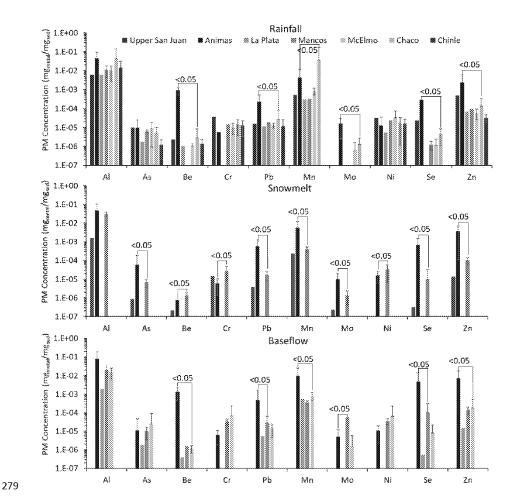


Figure 3. Average PM concentration in the tributary watersheds during rainfall (upper panel), snowmelt (middle panel), and baseflow (lower panel) shaded from upstream (dark blue) to downstream (red).

Statistically significant differences (p<0.05) between average PM concentrations are shown. Tributary PM concentrations are only present for runoff categories occurring within the tributary (e.g., no Chinle baseflow PM concentrations exist). PM concentrations not shown in Figure 4 are given in Supporting Information (Figure SI3).

Whereas PM concentrations were generally indistinct between runoff categories within a given tributary (Figure 4; Supporting Information Figure SI4), a few exceptions existed which differentiated tributary snowmelt PM concentrations from rainfall or baseflow PM concentrations. These exceptions included: Animas River As PM concentrations, which were greater for snowmelt relative to rainfall and baseflow (p <0.05) (Figure 4) as well as Animas River Se PM concentrations, which were greater for baseflow relative to snowmelt and rainfall (p <0.05) (Figure 4). Additionally, Mancos River Mo and Se PM concentrations were greater for snowmelt relative to rainfall or baseflow (Supporting Information, Figure SI4), although elevated Mancos River snowmelt Mo and Se PM concentrations were insignificant compared to Animas River Mo and Se PM concentrations (Figure 3).

Because the timing of Lake Powell sediment deposition was unknown, PM concentrations that were uniquely elevated in a given tributary or during a given runoff category in a given tributary can be used to determine the source or timing of deposition. Elevated PM concentrations of Cd, Cu, Pb, and Zn were indicative of Animas River contributions, whereas elevated As and Se concentrations were indicative of Animas River snowmelt contributions. Elevated Ni PM concentrations were indicative of Mancos River snowmelt contributions, and elevated Mn PM concentrations were indicative of Chaco Creek rainfall contributions. That PM Al concentrations were similar between tributaries (Figure 3) and between runoff category (Figure 4), justifies the use of Al as a conservative element for enrichment factors in the San Juan River.

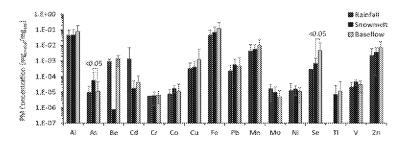


Figure 4. Average PM concentrations (mg_{metal}/mg_{sed}) in the Animas River during rainfall (red), snowmelt (blue), and baseflow (grey). Statistically significant differences (p <0.05) between average PM concentrations are shown.

For elements with unique tributary or runoff PM concentrations (e.g., As, Se, and Pb), enrichment factors were calculated relative to Al for sediment Core 2; where variations in these ratios with depth were examined to indicate potential changes in PM sources (Figure 5B). Sample 2-03 had the greatest Pb:Al, Cu:Al, Cd:Al, and Zn:Al ratios, suggesting Animas River-sourced PM (Figure 5B; Supporting Information, Figure SI5). Peaks in Ni:Al occurred in samples 2-11 and 2-14, suggesting Mancos River-sourced PM (Figure 5B). Sample 2-05 also had a peak in Ni:Al co-occurring with a peak in Cd:Al, Cu:Al and As:Al indicating a mixture of sources (Figure 5B). Peaks in Mn:Al occurred in samples 2-03, 2-06 and 2-12, suggesting Chaco Creek sourced PM (Supporting Information, Figure SI5).

Core 2 sediment color and grain size corresponded to ranges observed in PM in upstream tributaries. Core 2 sediment was predominantly brown (Munsell color 4/3), red (Munsell color 4/6), and dark brown (Munsell color 3/4) (Munsell Color x-rite, 2009) (Figure 5A). Similar colors were observed in PM from the tributaries; where suspended sediment in the Animas River, Upper San Juan River, Mancos River, La Plata River, and McElmo Creek ranged from brown (Munsell color 4/3) to dark brown (Munsell color 3/4) and suspended sediment in Chinle Creek was red (4/8) (Munsell Color x-rite, 2009) (Supporting Information, Figure SI6). The exception was Chaco Creek, which was light olive brown (Munsell color 5/4), a color not observed in Core 2. Under the assumption that diagenetic processes did not alter sediment color following deposition, the red color in samples 2-01, 2-12, 2-13, 2-15, and 2-18 (Figure 5A) may reflect sediment sourced from Chinle Creek.

Median (P50) grain size in Core 2 ranged from 4 μm to 150 μm with a majority of the sediment <10 μm in size (Figure 5C). Median grain size was used because particles were observed over the entire size range (0.25 μm to 87.5 μm or 0.5 μm to 350 μm , dependent on lens used) and were not normally

distributed (Supporting Information, Figure SI7). The median suspended sediment size in Chinle and Chaco Creeks were smaller than those observed in sediment Core 2 (P50 2.9 \pm 0.12and 3.4 \pm 0.05 μ m, respectively), while Upper San Juan River, Animas River, Mancos River, La Plata River, and McElmo Creek mobilized particles with median size ranging from 8.5 μ m to 39.0 μ m (Frederick et al., 2018). Core 2 sphericity measurements were not useful in differentiating tributary source (mean sphericity 0.71 \pm 0.01) and are provided in the Supporting Information (Figure SI8)

 Sediment elemental concentrations in the extractable phase were 1 to 1000 times lower than those determined by dissolution of the matrix (Hornewer, 2014) (Figure 5D). In general, over 50% of elemental concentration was in the sediment matrix and not on the coating, with less than 10% of Al, Cr, Sb, and V on the coating (Figure 5D).

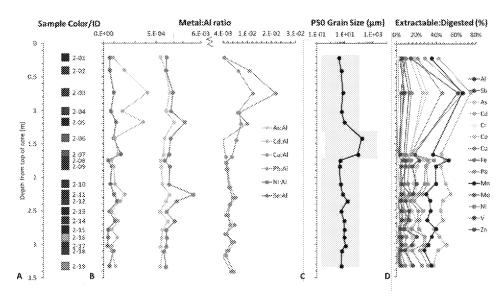


Figure 5. A) Dominant Munsell color of sediment (Munsell Color x-rite, 2009) and sample ID as a function of depth from the top of the core (m); B) ratio of extractable As (gold), Cd (orange), Cu (green), Pb (light blue), Mo (dark blue), Ni (purple), and Se (pink) to Al as a function of depth from the top of the

core (m); C) Median (P50) grain size (µm) for samples (black line) as a function of depth from the top of the core (m) with the lens size range in grey; and D) Percent of metal in extractable phases relative to full digestion as a function of depth from the top of the core (m). The lesser number of samples in D reflects lesser samples fully digested (Hornewer, 2014).

Similar to elemental concentrations, color, and grain size, sediment Pb isotope ratios linked deposited sediment to PM in upstream tributaries. Pb isotope ratios in Core 2 spanned Pb isotope ratios measured in tributary suspended sediment (Frederick et al., 2018); where Pb isotope ratios for samples 2-02 and 2-03 reflected the mineralized vein, and samples 2-01, 2-12, 2-13, 2-15, and 2-18 reflected the Chinle Sandstone (Figure 6). Pb isotopes measured in 2-04 and 2-05 represented a transition, similar to

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the Pb isotope ratios measured in the Mancos River (Figure 6).

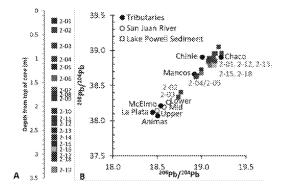


Figure 6. A) Dominant Munsell color of sediment (Munsell Color x-rite, 2009) and sample ID as a function of depth from the top of the core (m); B) Pb isotope ratios for the tributaries (closed circles), San Juan River (open circles), and Lake Powell Sediment (squares). Sediment are colored based on dominant Munsell color of sediment.

4.2. Sediment matrix signatures in PCA space

Runoff category signatures were established through PCA of sediment trap PM concentrations (via total digestion) (mg_{metal}/mg_{sed}), which were deployed during baseflow with intermittent rainfall and peak snowmelt (UDWQ et al., 2018). Sediment trap periods occupied distinct PC spaces (Figure 7A); where sediment deposited during snowmelt had higher Pb, Zn, V, Mo, and As concentrations (lower PC1) than sediment deposited during baseflow with intermittent rainfall, which were higher in Fe, Al, and Ni concentrations (higher PC1) (Figure 7A). Rainfall/baseflow sediment deposited in 2015 had higher Fe, Al, and Ni concentrations (higher PC1) than sediment deposited during rainfall/baseflow in 2016 (Figure 7A), possibly reflecting the larger 2015 rainfall events resulting in significant flow in Chinle Creek (Figure 2) (UDWQ et al., 2018). Additionally, the significantly higher sedimentation rate captured in 2015 rainfall/baseflow trap than 2016 rainfall/baseflow trap (1.97 m/year versus 0.21 m/year, respectively), indicates the activation of a large sediment source (e.g., Chinle Creek) during the 2015 deployment.

 Sediment trap periods were not further differentiated in PC2, as sediment deposited during both snowmelt and baseflow with intermittent rainfall spanned PC2 (Figure 7A). Unlike sediment trap period, sediment trap location (upstream, midstream, and downstream) did not occupy distinct PCA spaces (Figure 7A), where colors corresponding to sediment trap location were mixed throughout the PCA space.

Core 2 sediment PM concentrations (total digestion), occupied similar PCA space to sediment traps; excepting 2-07 which was lower in all PM concentrations (Figure 7B). Samples 2-01, 2-13, 2-15, 2-17, and 2-18 occupied PC space within the probability ellipse assigned to 2015 rainfall/baseflow sediment traps, indicating that these sample concentrations were similar (95% confidence) (Figure 7B). Whereas samples 2-03 and 2-16 occur outside the probability ellipse for peak snowmelt sediment, these samples occupied a similar PC2 space (higher Pb, Zn, Mo, and As) as that occupied by early and peak snowmelt samples (Figure 7B). Samples 2-09 and 2-19 occurred in the 2016 rainfall/baseflow probability ellipse, indicating similar concentrations (Figure 7B).

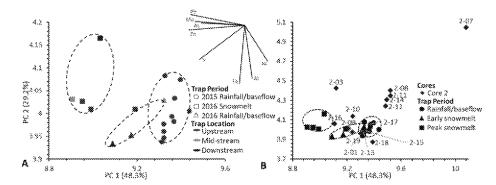


Figure 7. A) PCA for USGS sediment traps deployed over three periods: 2015 rainfall/baseflow (circles), 2016 snowmelt (squares), and 2016 rainfall/baseflow (triangles), collected at three locations: upstream (red), mid-stream (green), and downstream (blue) (Figure 1). Graphical representation of elemental PC weights (solid lines) are given, where vectors denote weight and direction of PC1 and PC2 weights. PC1 and PC2 explained 48.3% and 29.2% of the variance, respectively. PC3 explained 14.5% of the variance and is shown in the supporting information, Figure SI9; B) Sediment core samples were assigned PC1 and PC2 weights generated by sediment traps (blue diamonds) and graphed with sediment deposited during 2015 rainfall/baseflow (black circles), 2016 snowmelt (squares), and 2016 rainfall/baseflow (triangles). Probability ellipses, representing the 95% confidence interval, are given for the distinct sediment trap categories.

4.3. Linking Sediment Core Layers to PM of Upstream Tributaries

Elemental and isotopic signatures in Lake Powell sediment were linked to those of PM in upstream tributaries. The 0.66 m layer near the top of Core 2, represented by samples 2-02 and 2-03, likely reflected Animas River snowmelt PM; where the Pb isotope ratios represented the mineralized vein present in the headwaters of the Animas River (Figure 6), ratios of Pb:Al, Cd:Al, Cu:Al, and Zn:Al were characteristic of the Animas River (Figure 5B), and elemental concentrations occupied similar PC space

as sediment deposited during snowmelt (Figure 7). The dominance of the Animas River PM signature to the Lower San Juan River during snowmelt (Frederick et al., 2018) in addition to the low deposition rates modelled in the San Juan River during high flow typical of snowmelt (Sullivan et al., 2017), would allow Animas River snowmelt PM signatures to be deposited as a layer in the sediment of the San Juan River Delta. The 0.24 m layer deposited before the snowmelt layer, represented by samples 2-04 and 2-05, possibly reflected Animas River early snowmelt PM as the Pb isotope ratio was mixed (Figure 6), but no excursions in elemental concentration were noted.

 Sediment core layers with enriched Pb isotope ratios (Figure 6), red color (Figure 5), and enriched Mn:Al (Supporting Information Figure SI5) likely reflected Chinle and Chaco Creek PM sources (i.e., layers represented by samples 2-01, 2-12, 2-13, 2-15, 2-17, and 2-18). These samples also had elemental concentrations that occupied similar PC space as sediment deposited during 2015 rainfall/baseflow (Figure 7), suggesting the dominance of Chinle Creek PM during large rainfall events. That Chinle Creek (farthest downstream tributary at ~20 km upstream of Lower San Juan) dominated rainfall layers deposited in the San Juan River Delta sediment, indicates that short duration high intensity flow events transmit PM across (~20 km) the system. Whereas the red color of Core 2 layers could potentially reflect post-depositional oxidation of iron, it more likely reflects the red color of Chinle Creek PM, since PCA and Pb isotope ratios for red sediment layers also suggested Chinle Creek as a source.

The 0.57 m thick sandy layer, represented by samples 2-06 and 2-07, cannot be attributed to a runoff category because PM concentrations were lower than those measured in any sediment trap (Figure 7); however, the Pb isotope ratios were representative of the Chinle Sandstone (Figure 6). The median grain size of the sandy layer (154 μ m and 90 μ m, for sample 2-06 and 2-07 respectively) (Figure 5C) was larger than any median tributary particle size, suggesting that events mobilizing coarse sand particles were rare, or that coarse particles were not successfully collected using the grab sampling technique in upstream tributaries. Either possibility is consistent with the good agreement in PM

concentrations measured between sampling composited and grab samples (UDEQ et al., 2018), since coarse particles have low extractable elemental concentrations (Figure 5D). Notably, no sand layers were observed in sediment traps, suggesting that coarse particles were not suspended in the water column.

Other layers likely reflect a mixture of sources deposited during baseflow; where sediment PM concentrations were lower than both baseflow with intermittent rainfall and snowmelt (Figure 7) and Pb isotopes were enriched compared to the Chinle Sandstone (Figure 6) (i.e., samples 2-08, 2-11, 2-14, and 2-19). Sediment core sample 2-16 occupied similar PC space as sediment deposited during snowmelt but had a Pb isotope ratio reflective of the Chinle Sandstone, potentially indicating a mixture of sources.

Because Animas River snowmelt PM loads in the San Juan River have been attributed to mining in the headwaters of the Animas River (Frederick et al., 2018), sediment layers reflecting the Animas River snowmelt signature were also attributed to mining sources. Conversely, because rainfall PM loads sourced from the Chinle and Chaco tributaries have been attributed to non-mining sources (Frederick et al., 2018), sediment reflecting Chinle and Chaco Creek rainfall signatures were attributed to non-mining sources. Therefore the percent of PM sourced from mining and non-mining sources was estimated from the known percent contribution of mining and non-mining sourced PM in the Lower San Juan River (Frederick et al., 2018), the length of each sediment layer (Hornewer, 2014), and bulk density (Supporting Information, Figure SI10) of each sediment layer. Assuming each subsample PM concentration represented the average PM concentration for a given layer, the percent of mining and non-mining sourced PM over Core 2 was calculated. For Core 2, 0.2% Al, 4% As, 5% Cu, 1% Fe, 10% Pb, and 8% Zn was attributed to mining sources and 5% Al, 2% As, 7% Cu, 1% Fe, 3% Pb, and 4% Zn was attributed to non-mining sources.

451 4.4. Age of the Core

Our results suggest that Core 2 was deposited in only ~1.3 years, which reflects the high sedimentation rates reported to occur during some years in the San Juan River delta. Core 2 was collected in mid-August 2010, indicating that the 2010 snowmelt signature was represented in samples 2-03 to 2-04 (near the top of the record). No other snowmelt layer was detected in Core 2, suggesting that the 2009 snowmelt was not represented. 2009 was a low snowmelt year in the Lower San Juan River, with peak flow ending in early May rather than the typical June or July (Supporting Information Figure SI10), (USGS, 2018). Core 2 was collected directly after a large precipitation event (08/03/2010) where flow in the Lower San Juan River exceeded 5000 cfs (USGS, 2018), and flow in Chinle Creek reached ~100 cfs, likely yielding the layer represented by sample 2-01. A similar age for this core, 1.4 years, was determined using bathymetric survey elevation and average sedimentation rates (UDWQ et al., 2018).

Whereas Pb isotopes, particle sizes, and enrichment factors were determined for Core 2, these attributes were not determined for Cores 1 and 3. PCA was performed for Cores 1 and 3 (Supporting Information, Figure SI11). Both Core 1 and Core 3 had a single sample fall within the probability ellipse established by 2016 snowmelt, and multiple samples fall within the probability ellipses established by 2015 and 2016 rainfall/baseflow sediment traps. Future work will examine the record provided in these sediment cores.

The detection of an Animas River snowmelt layer in Core 2 suggests that high flow, typical of spring runoff, is needed for upstream signatures (above Chinle Creek) to be preserved in the downstream sediment record. Despite co-occurring high flow resulting from Navajo Dam release, the GKM event was not likely preserved as a layer in the San Juan River Delta, as GKM PM was mainly (~90%) deposited in Animas River (Sullivan et al., 2017).

5. Conclusions

4/6	San Juan River Delta sediment Pivi reflects mining and non-mining sources from the tributaries of
477	the San Juan River. Tributary Pb isotopes and PM concentrations, which differ with runoff category,
478	were used to link sediment core layers to upstream tributaries; where the 3.37 m core examined here
479	represented approximately 1.3 years of sediment deposition. Approximately 10% of the overall PM
480	deposited in the sediment core was attributed to mining sources, with ~80% of the overall PM reflecting
481	a mixture of mining and non-mining sources.
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